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Spec.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sloan et al.

Docket No.: 60021-375702

Serial No.: 09/929,735

Group Art Unit: 2165

Filing Date: August, 13, 2001

Examiner: Unassigned

For: **COMMUNICATION INTERFACE FOR A FINANCIAL MODELING AND COUNSELING SYSTEM**

Assistant Commissioner of Patents  
Washington, D.C. 20231

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service First Mail service on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231, on December 12, 2002.

Ann Pommier  
Ann Pommier

**PRELIMINARY AMENDMENT**

Sir:

Please amend the Application and consider the remarks as hereafter provided.

This is a clean copy of the specification and claims. Attached hereto is a marked-up version of the changes made to the specification and claims. The attached pages are captioned "Version with Markings to Show Changes Made."

**In the Specification:**

Please amend the specification starting with paragraph 1 of page 1 as follows:

**RECEIVED**

**DEC 20 2002**

**GROUP 3600**

**FIELD OF INVENTION**

The present invention relates generally to a web-enabled computerized information systems and more particularly to Internet-based financial modeling and counseling systems.

**BACKGROUND OF THE INVENTION**

Financially prudent individuals typically engage in extensive planning to develop plans that will aid them in achieving their financial goals. Traditionally, many individuals have entrusted their financial plans to personal financial advisors.